



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 21

**Complete if Known**

Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C102C1/10606085

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code* (if known)			
	AA	US-6,031,989	02-29-2000	Cordell	
	AB	US-5,347,632	07-13-1994	Filepp et al.	
	AC	US-5,838,906	11-17-1998	Doyle et al.	
	AD	US-6,148,330	11-14-2000	Puri et al.	
	AE	US-5,913,215	06-15-1999	Rubinstein et al.	
	AF	US-6,061,738	05-09-2000	Osaku et al.	
	AG	US-6,061,695	05-09-2000	Silvka et al.	
	AH	US-6,064,979	05-16-2000	Perkowski	
	AI	US-6,343,377	01-29-2002	Gessner et al.	
	AJ	US-6,101,510	08-08-2000	Stone et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code* Number* Kind Code* (if known)				

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kind Codes or USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Bryan Pfaffenberger, "Official Microsoft Internet Explorer 4 Book", published by Microsoft Press, 1997, 469 pgs.	
	CB	Danny Goodman, "JavaScript™ Bible", 2 <sup>nd</sup> Edition, published by IDG Books Worldwide, Inc., 1996, 624 pgs.	
	CC	Nigel McFarlane, "Instant JavaScript", published by Wrox Press, 1997, 469 pgs.	
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	CF	David M. Geary and Alan L. McClellan, "Graphic Java, Mastering the AWT", published by SunSoft Press for Sun Microsystems, Inc., 1997, 659 pgs.	
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	CH	computer screen shots; DHTML for Cross Browser - Desktop emulator like Windows95 system, 11 pgs [Retrieved on: December 31, 2003] Retrieved from: <a href="http://www.din.or.jp/~hagi3/JavaScript/JSTips/DHTML/Desktop/desktop.htm">http://www.din.or.jp/~hagi3/JavaScript/JSTips/DHTML/Desktop/desktop.htm</a>	
	CI	computer screen shots; Test of "DHTML Windowmaker Sim" (Appendix E), website publication date unknown, 29 pgs.	
	CJ	computer screen shots, "Visual DHTML", website and publication unknown, 19 pgs.	

Examiner Signature	Date Considered
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/672,945
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		Art Unit	2178
		Examiner Name	C.B. Paula
		Attorney Docket Number	65164/P006C1C2C1/10606085
Sheet	2	of	21

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			<b>Filing Date</b>	September 29, 2003
			<b>First Named Inventor</b>	Sandro Paquali
			<b>Art Unit</b>	2178
			<b>Examiner Name</b>	C.B. Paula
			<b>Attorney Docket Number</b>	65164/P006C1C2C1/10606085
<b>Sheet</b>	3	of	21	

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<b>Sheet</b>	4	of	21	<b>Attorney Docket Number</b>	65164/P006C1C2C1/10606085

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Sheet 5 of 21

CT2	Peter Aitken, "Web Developer's Guide to JavaScript & VBScript", published by The Corliss Group, Inc., © 1996, 409 pgs.
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1/C1/10606085

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Sheet 7 of 21

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First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1C2C1/10606085

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Sheet 8 of 21

**Complete if Known**

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First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1CEC1/10606085

CQ4	Dan Steinman, "The Dynamic Duo, Cross-Browser JavaScript", Tutorial, web.archive.org, © 1998 Dan Steinman, 3 pgs. [Retrieved on: February 24, 2004] Retrieved From: <a href="http://web.archive.org/web/19990218150456/www.dansteinman.com/dynduo/javascrip/javascrip.html">http://web.archive.org/web/19990218150456/www.dansteinman.com/dynduo/javascrip/javascrip.html</a>
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CC5	Dan Steinman, "The Dynamic Duo, Drag and Drop Concepts", Tutorial, web.archive.org, © 1998 Dan Steinman, 3 pgs. [Retrieved on: February 24, 2004] Retrieved From: <a href="http://web.archive.org/web/19990506013745/www.dansteinman.com/dragconcepts/dragconcepts.html">http://web.archive.org/web/19990506013745/www.dansteinman.com/dragconcepts/dragconcepts.html</a>
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CO5	Christopher Bourdeau, "An Introduction to Dynamic Response Formatting", Research Paper, Computer Science at Boston College, January-April 1997, 28 pgs.
CP5	Simon St. Laurent, "Dynamic HTML: A Primer", published by MIS:Press, © 1997 Simon St. Laurent, 176 pgs.
CQ5	Robert J. Mudry, "The DHTML Companion", published by Prentice Hall PTR, © 1998 Prentice Hall PTR, 346 pgs.
CR5	Michele Petrovsky, "Dynamic HTML in Action", published by Osborne/McGraw-Hill, © 1998 The McGraw-Hill Companies, 464 pgs.

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/672,945
		Filing Date	September 29, 2003
		First Named Inventor	Sandro Paquali
		Art Unit	2178
		Examiner Name	C.B. Paula
		Attorney Docket Number	65164/P006C1/C2C1/10606085
Sheet	10	of	21

CS5	Bruce Campbell et al., "Teach Yourself Dynamic HTML in a Week", 1 <sup>st</sup> Edition, published by Sams.net Publishing, © 1997 Sams.net Publishing, 529 pgs.
CT5	David Nybransen et al., "Using Dynamic HTML", Special Edition, published by Que Corporation, © 1997 Que Corporation, 591 pgs.
CU5	Alex Homer et al., "Instant IE4 Dynamic HTML", IE4 Edition, published by Wrox Press Ltd., © 1997 Wrox Press, 597 pgs.
CV5	Alex Homer et al., "Instant Netscape Dynamic HTML", NC4 Edition, published by Wrox Press Ltd., © 1997 Wrox Press, 454 pgs.
CW5	Steven Holzner, "Web Developer.com: Guide to Dynamic HTML", published by John Wiley & Sons, Inc., © 1997 Steven Holzner, 493 pgs.
CX5	Google Search Groups, blog regarding Dynamic HTML, © 2004 Google, 6 pgs. [Retrieved on: June 13, 2004] Retrieved From: <a href="http://www.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;c2coff=1&amp;fr=.....">http://www.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;c2coff=1&amp;fr=.....</a>
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CZ5	Google Search Groups, blog regarding Dynamic HTML, © 2004 Google, 6 pgs. [Retrieved on: June 13, 2004] Retrieved From: <a href="http://www.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;c2coff=1&amp;fr=.....">http://www.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;c2coff=1&amp;fr=.....</a>
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CB6	Google Search Groups, blog regarding Dynamic HTML, © 2004 Google, 3 pgs. [Retrieved on: June 13, 2004] Retrieved From: <a href="http://www.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;c2coff=1&amp;fr=.....">http://www.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;c2coff=1&amp;fr=.....</a>
CC6	Michael Macrone, "Dynamic HTML", Article, InterActivity Multimedia Magazine, March 1998, Volume 4, Number 3, 86 pgs.
CD6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiff's Response to Simple.com, Inc.'s First Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 10 pgs.
CE6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiff's First Supplemental Responses to Simple.com, Inc.'s First Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 20 pgs.
CF6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiff's Third Supplemental Responses to Simple.com, Inc.'s First Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 21 pgs.
CG6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiff's Fourth Supplemental Responses to Simple.com, Inc.'s First Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 57 pgs.
CH6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiff's Sixth Supplemental Responses to Simple.com, Inc.'s First Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 45 pgs.
CJ6	Michael M. Markman, Letter to Ms. Wahlgren, Subject: Computer Associates International, Inc. v. Simple.com, Inc., et al., dated March 29, 2004, 7 pgs.
CJ6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiff's Responses to Simple.com, Inc.'s Second Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 10 pgs.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 21

**Complete if Known**

Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1/C1/10606085

CK6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiffs First Supplemental Responses to Simple.com, Inc.'s Second Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 21 pgs.
CL6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiffs Third Supplemental Responses to Simple.com, Inc.'s Second Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 24 pgs.
CM6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiffs Fourth Supplemental Responses to Simple.com, Inc.'s Second Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 105 pgs.
CN6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiffs Sixth Supplemental Responses to Simple.com, Inc.'s Second Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 71 pgs.
CO6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiffs Seventh Supplemental Responses to Simple.com, Inc.'s Second Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 9 pgs.
CP6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Plaintiffs Eighth Supplemental Responses to Simple.com, Inc.'s Second Set of Interrogatories to Plaintiff", United States District Court, Eastern District of New York, 7 pgs.
CQ6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "First Supplemental Invalidity Expert Report of Danny Goodman", United States District Court, Eastern District of New York, 4 pgs.
CR6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Videotaped Deposition of Sandro Pasquali", Indexed by Shannon Taylor Scott and Patrick Murray, United States District Court, Eastern District of New York, dated June 21, 2004, 130 pgs.
CS6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Supplemental Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Responsive Brief on Claim Construction", United States District Court, Eastern District of New York, dated January 18/19, 2005, 6 pgs.
CT6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Motion for Summary Judgment of Invalidity: Volume 1 of 2", United States District Court, Eastern District of New York, 408 pgs.
CU6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Motion for Summary Judgment of Invalidity: Volume 2 of 2", United States District Court, Eastern District of New York, 395 pgs.
CV6	Chris Martiniak, Letter to Mr. Peterson, Subject: Computer Associates International, Inc. v. Simple.com, Inc., U.S.D.C., Eastern District of New York; CV 02-2748 (DRH) (MLO), dated November 12, 2004, 1 pg.
CW6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Motion for Partial Summary Judgment of Invalidity of Certain Asserted Claims of U.S. Patent No. 6,536,882 as Indefinite", United States District Court, Eastern District of New York, Dated January 18-19, 2005, 7 pgs.
CX6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Opposition to Defendant Simple's Motion for Summary Judgment Dismissing CA's Claims That the Patents In-Suit are Invalid as anticipated and Obvious: Volume 1 of 2", United States District Court, Eastern District of New York, 645 pgs.

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Application Number	10/672,945
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First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1C2C1/10606085

Sheet 12 of 21

CY6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Opposition to Defendant Simple's Motion for Summary Judgment Dismissing CA's Claims That the Patents-In-Suit are Invalid as Anticipated and Obvious: Volume 2 of 2", United States District Court, Eastern District of New York, 622 pgs.
CZ6	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Supplemental Declaration of Michael M. Markman RE Newly-Discovered Evidence in Support of Computer Associates International, Inc.'s: (1) Motion for Summary Judgment of Invalidity, (2) Opposition to Motion for Summary Judgment of Validity, (3) Opposition to Motion for Summary Judgment on the Inequitable Conduct Defense", United States District Court, Eastern District of New York, 125 pgs.
CA7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Supplemental Declaration of Danny Goodman RE Newly-Discovered Evidence (The Bates Patent) in Support of Computer Associates International, Inc.'s: (1) Motion for Summary Judgment of Invalidity, (2) Opposition to Motion for Summary Judgment of Validity", United States District Court, Eastern District of New York, 31 pgs.
CB7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Richard A. Belgard in Response to the Supplemental Declaration of Danny Goodman RE Newly-Discovered Evidence (The Bates Patent)", United States District Court, Eastern District of New York, 22 pgs.
CC7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of James J. Maune, Esq. in Rebuttal to Declaration of Danny Goodman RE: Newly Discovered Evidence", United States District Court, Eastern District of New York, 7 pgs.
CD7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Supplemental Expert Report of Cameron Welfenbach", United States District Court, Eastern District of New York, 7 pgs.
CE7	Gale R. Peterson, Civil Action Number: CV 02-2748 (DRH) (MLO), "Special Masters Report and Recommendation Regarding Enablement", United States District Court, Eastern District of New York, 59 pgs.
CF7	Gale R. Peterson, Civil Action Number: CV 02-2748 (DRH) (MLO), "Special Masters Report and Recommendation Regarding Written Description", United States District Court, Eastern District of New York, 11 pgs.
CG7	Gale R. Peterson, Civil Action Number: CV 02-2748 (DRH) (MLO), "Special Masters Report and Recommendation Regarding Claim Definiteness", United States District Court, Eastern District of New York, 38 pgs.
CH7	Gale R. Peterson, Civil Action Number: CV 02-2748 (DRH) (MLO), "Special Masters Report and Recommendation Regarding Inventorship", United States District Court, Eastern District of New York, 32 pgs.
CI7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Defendants' Memorandum in Support of Their Objections to the Specialist Master's Report and Recommendation Regarding Claim Construction", United States District Court, Eastern District of New York, 23 pgs.
CJ7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Richard A. Belgard", United States District Court, Eastern District of New York, 15 pgs.
CK7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Objections to Special Master's Report and Recommendation Regarding Claim Construction", United States District Court, Eastern District of New York, 26 pgs.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 3 of 21

**Complete if Known**

Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1C2C1/10606085

CL7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Objections to Special Master's Report and Recommendation Regarding Written Description", United States District Court, Eastern District of New York, 14 pgs.
CM7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Response to Simple.com's Objections to Special Master's Report and Recommendation Regarding Claim Construction", United States District Court, Eastern District of New York, 22 pgs.
CN7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Defendants' Response to CA's Objections to the Special Master's Report and Recommendation Regarding Claim Construction", United States District Court, Eastern District of New York, 23 pgs.
CO7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Defendants' Memorandum of Law in Opposition to Plaintiff Computer Associates International, Inc.'s Objections to the Special Master's Report and Recommendation Regarding Written Description", United States District Court, Eastern District of New York, 16 pgs.
CP7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Defendants' Reply Memorandum in Support of Their Objections to Special Master's Report and Recommendation Regarding Claim Construction", United States District Court, Eastern District of New York, 22 pgs.
QQ7	Chris Martiniak, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Reply in Support of Objections to Special Master's Report and Recommendation Regarding Claim Construction", United States District Court, Eastern District of New York, dated April 10, 2006, 15 pgs.
CR7	Chris Martiniak, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Reply Memorandum in Support of Objections to Special Master's Report and Recommendation Regarding Written Description", United States District Court, Eastern District of New York, dated April 10, 2006, 14 pgs.
CS7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Defendants' Memorandum in Support of Their Objections to the Special Master's Report and Recommendation Regarding Anticipation and Obviousness", United States District Court, Eastern District of New York, 24 pgs.
CI7	Chris Martiniak, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Objections to Special Master's Report and Recommendation Regarding Anticipation and Obviousness", United States District Court, Eastern District of New York, dated April 20, 2006, 32 pgs.
CU7	Gale R. Peterson, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Objections to the Special Master's Report and Recommendations as to CA's Motion for Summary Judgment of Anticipation", United States District Court, Eastern District of New York, 53 pgs.
CV7	Chris Martiniak, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Response to Simple.com's Objections to Special Master's Report and Recommendation Regarding Anticipation and Obviousness", United States District Court, Eastern District of New York, dated May 1, 2006, 26 pgs.
OW7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Defendant's Response to Plaintiff Computer Associates International, Inc.'s Objections to the Special Master's Report and Recommendation Regarding Anticipation and Obviousness", United States District Court, Eastern District of New York, dated May 4, 2006, 22 pgs.
CX7	Richard A. Belgard, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Richard A. Belgard", United States District Court, Eastern District of New York, dated May 4, 2006, 15 pgs.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 14 of 21

**Complete if Known**

Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C102C1/10606085

CY7	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Defendants' Reply Memorandum in Support of Their Objections to the Special Master's Report and Recommendation Regarding Anticipation and Obviousness", United States District Court, Eastern District of New York, dated May 8, 2006, 25 pgs.	
CZ7	Chris Martinak, Civil Action Number: CV 02-2748 (DRH) (MLO), "Computer Associates International, Inc.'s Reply in Support of its Objections to Special Master's Report and Recommendation Regarding Anticipation and Obviousness", United States District Court, Eastern District of New York, dated May 11, 2006, 17 pgs.	
CA8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Support of the Claim Construction Brief of Plaintiff Computer Associates International, Inc.", United States District Court, Eastern District of New York, 15 pgs.	
CB8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Exhibit A", United States District Court, Eastern District of New York, 6 pgs.	
CC8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Invalidity Expert Report of Danny Goodman", United States District Court, Eastern District of New York, 23 pgs.	
CD8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Rebuttal Expert Report of Danny Goodman Regarding Claim Construction Issues", United States District Court, Eastern District of New York, 26 pgs.	
CE8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Exhibit D", United States District Court, Eastern District of New York, 22 pgs.	
CF8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Appendix A", United States District Court, Eastern District of New York, 61 pgs.	
CG8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Goodman Declaration Exhibit E", United States District Court, Eastern District of New York, DVD.	
CH8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Exhibit F", United States District Court, Eastern District of New York, 5 pgs.	
CI8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Exhibit G", United States District Court, Eastern District of New York, 6 pgs.	
CJ8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Exhibit H", United States District Court, Eastern District of New York, 6 pgs.	
CK8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Meininger Deposition Exhibit 25", United States District Court, Eastern District of New York, Advanced HTML Website.	
CL8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Danny Goodman in Opposition to Defendants' Motion for Summary Judgement of Infringement", United States District Court, Eastern District of New York, 2 pgs.	
CM8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Supplemental Declaration of Danny Goodman in Support of Plaintiff Computer Associates International, Inc.'s Objections to the Special Master's Report and Recommendations as to Anticipation and Obviousness", United States District Court, Eastern District of New York, 13 pgs.	
CN8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Declaration of Richard A. Belgard", United States District Court, Eastern District of New York, 15 pgs.	
CO8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Dan Steinman Deposition Exhibits", United States District Court, Eastern District of New York, 81 pgs.	
CP8	Author Unknown, Civil Action Number: CV 02-2748 (DRH) (MLO), "Jeff Meininger Deposition Exhibits", United States District Court, Eastern District of New York, 141 pgs.	
CQ8	Author Unknown, Civil Action Number: CV 02-2748 (DRH), "Videotaped Deposition of Dan Steinman", United States District Court, Eastern District of New York, April 23, 2004, 215 pgs.	
CR8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Oral/Video Deposition of Jeff Meininger", United States District Court, Eastern District of New York, April 20, 2004, 141 pgs.	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/672,945
		Filing Date	September 29, 2003
		First Named Inventor	Sandro Paquali
		Art Unit	2178
		Examiner Name	C.B. Paula
		Attorney Docket Number	65164/P006C1C2C1/10606085
Sheet	15	of	21

CS8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Special Masters's Report and Recommendation Regarding Conception", United States District Court, Eastern District of New York, 37 pgs.
CT8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Special Masters's Report and Recommendation Claim Construction", United States District Court, Eastern District of New York, 180 pgs.
CU8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Special Masters's Report and Recommendation Regarding Infringement", United States District Court, Eastern District of New York, 145 pgs.
CV8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Special Masters's Report and Recommendation Regarding Anticipation and Obviousness", United States District Court, Eastern District of New York, 314 pgs.
CW8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Special Masters's Report and Recommendation Regarding Inequitable Conduct", United States District Court, Eastern District of New York, 72 pgs.
CX8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Claim Construction Brief of Plaintiff Computer Associates International, Inc.", United States District Court, Eastern District of New York, 40 pgs.
CY8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Chris Martinak in support of the Claim Construction Brief of Plaintiff Computer Associates International, Inc.", United States District Court, Eastern District of New York, 108 pgs.
CZ8	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Carol Farlow Lerche in support of the Claim Construction Brief of Plaintiff Computer Associates International, Inc.", United States District Court, Eastern District of New York, 3 pgs.
CA9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Second Supplemental Declaration of Christopher A. Young in Support of Defendant's Replies in Support of Defendants' Motion for Summary Judgment", United States District Court, Eastern District of New York, 83 pgs.
CB9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "[Proposed] Order RE Claim Construction", United States District Court, Eastern District of New York, 4 pgs.
CC9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Defendants' Memorandum of Law in Opposition to Plaintiff Computer Associates International, Inc.'s Proposed Claim Construction", United States District Court, Eastern District of New York, 31 pgs.
CD9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Supplemental Declaration of Christopher A. Young in Support of Defendants' Responses to Plaintiff's Motions for Summary Judgment", United States District Court, Eastern District of New York, 148 pgs.
CE9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Sharna A. Wahlgren in Support of Defendants' Opposition to Plaintiff's Motions for Summary Judgment", United States District Court, Eastern District of New York, 104 pgs.
CF9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Sharna A. Wahlgren Supplementing the Declaration of Sharna A. Wahlgren Dated December 8, 2004 in Support of Defendants' Opposition to Plaintiff's Motions for Summary Judgment", United States District Court, Eastern District of New York, 1 pgs.
CG9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiff's Brief Support of Motion for Partial Summary Judgment Regarding the Conception Date of the Inventions Disclosed in the Patents-in-Suit", United States District Court, Eastern District of New York, 18 pgs.

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STATEMENT BY APPLICANT**

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Sheet 16 of 21

**Complete if Known**

Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C102C1/10606085

CH9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiffs Statement of Material Facts in Support of Motion for Partial Summary Judgment Regarding the Conception Date of the Patents-In-Suit", United States District Court, Eastern District of New York, 3 pgs.	
CI9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Michael M. Markman in Support of Plaintiff's Motion for Summary Adjudication of the Conception Date of the Inventions Disclosed in the Patents-In-Suit", United States District Court, Eastern District of New York, 80 pgs.	
CJ9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "[Proposed] Order RE Plaintiff's Motion for Partial Summary Judgment Regarding the Conception Date of the Inventions Disclosed in the Patents-In-Suit", United States District Court, Eastern District of New York, 2 pgs.	
CK9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Defendants' Memorandum of Law in Opposition to Plaintiff Computer Associates International, Inc.'s Motion for Partial Summary Judgment Regarding the Conception Date of the Inventions Disclosed in the Patents-In-Suit", United States District Court, Eastern District of New York, 19 pgs.	
CL9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Defendants' Response to the Plaintiff's Statement of Material Facts in Support of its Motion for Partial Summary Judgment Regarding the Conception Date", United States District Court, Eastern District of New York, 6 pgs.	
CM9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiff's Reply Brief in Support of Motion for Partial Summary Judgment Regarding the Conception Date of the Inventions Disclosed in the Patents-In-Suit", United States District Court, Eastern District of New York, 18 pgs.	
CN9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Michael M. Markman in Support of Plaintiff Computer Associates International, Inc.'s Motion for Summary Judgment RE Damages", United States District Court, Eastern District of New York, 38 pgs.	
CO9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "[Proposed] Order RE Plaintiff Computer Associates International, Inc.'s Motion Summary Judgment RE Damages", United States District Court, Eastern District of New York, 2 pgs.	
CP9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiff Computer Associates International, Inc.'s Motion for Summary Judgment of Invalidity", United States District Court, Eastern District of New York, 58 pgs.	
CQ9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Computer Associates' Separate Statement of Undisputed Facts in Support of Motion for Summary Judgment of Invalidity", United States District Court, Eastern District of New York, 46 pgs.	
CR9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "[Proposed] Order RE Plaintiff's Motion for Summary Judgment of Invalidity", United States District Court, Eastern District of New York, 11 pgs.	
CS9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Memorandum of Law in Opposition to Plaintiff Computer Associates International, Inc.'s Motion for Summary Judgment of Invalidity", United States District Court, Eastern District of New York, 50 pgs.	
CT9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Defendant's Response to Plaintiff's Statement of Undisputed Facts in Support of its Motion for Summary Judgment of Invalidity", United States District Court, Eastern District of New York, 66 pgs.	
CU9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiff Computer Associates International, Inc.'s Reply in Support of Motion for Summary Judgment of Invalidity", United States District Court, Eastern District of New York, 30 pgs.	

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Sheet 17 of 21

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Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1/CEC1/10606085

CV9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Chris Martiniak in Support of Plaintiff's Reply RE Invalidity", United States District Court, Eastern District of New York, 146 pgs.
CW9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiff's Brief in Support of Motion for Summary Judgment of Invalidity of the '882 Patent for Incorrect Inventorship and Failure to Meet the Written Description Requirement", United States District Court, Eastern District of New York, 13 pgs.
CX9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiff's Statement of Material Facts in Support of Motion for Summary Judgment of Invalidity of the '882 Patent for Incorrect Inventorship and Failure to Meet the Written Description Requirement", United States District Court, Eastern District of New York, 2 pgs.
CY9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Chris Martiniak in Support of Plaintiff's Motion for Summary Judgment of Invalidity of the '882 Patent for Incorrect Inventorship and Failure to Meet the Written Description Requirement", United States District Court, Eastern District of New York, 19 pgs.
CZ9	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "[Proposed] Order RE Plaintiff's Motion for Summary Judgment of Invalidity of the '882 Patent for Incorrect Inventorship and Failure to Meet the Written Description Requirement", United States District Court, Eastern District of New York, 2 pgs.
CA10	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Memorandum of Law in Opposition to Plaintiff Computer Associates International, Inc.' Motion for Summary Judgment of Invalidity of the '882 Patent for Incorrect Inventorship and Failure to Meet the Written Description Requirement and in Support of Defendants' Cross Motion for Summary Judgment Dismissing Plaintiff Computer Associates International, Inc.'s Untimely Written Description Defense", United States District Court, Eastern District of New York, 27 pgs.
CB10	Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Defendants' Response to the Plaintiff's Statement of Material Facts in Support of its Motion for Summary Judgment of Invalidity of the '882 Patent for Incorrect Inventorship and Failure to Meet the Written Description Requirement", United States District Court, Eastern District of New York, 4 pgs.
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STATEMENT BY APPLICANT**

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Sheet 18 of 21

**Complete if Known**

Application Number	10/672,945
Filing Date	September 29, 2003
First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C102C1/10608085

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 19 of 21

**Complete if Known**

Application Number	10/672,945
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First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C102C1/10606085

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Signature

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Sheet 20 of 21

## Complete If Known

Application Number	10/672,945
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First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C102C1/10606085

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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First Named Inventor	Sandro Paquali
Art Unit	2178
Examiner Name	C.B. Paula
Attorney Docket Number	65164/P006C1C2C1/10606085

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant's unique citation designation number (optional). \*Applicant is to place a check mark here if English language Translation is attached.

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EXHIBIT A

<b>BOX 1</b>	CA-CE
<b>BOX 2</b>	CF-CR
<b>BOX 3 &amp; BOX 4</b>	CS-CZ, CA1-CN1
<b>BOX 5</b>	CO1-CV1, AA-AD, CW1-CZ1, CA2-CM2
<b>BOX 6</b>	CN2-CP2
<b>BOX 7</b>	CQ2-CR2
<b>BOX 8</b>	CS2-CT2, AE, AF, AH, AI, CU2-CZ2, CA3-CD3
<b>BOX 9</b>	CE3-CI3
<b>BOX 10</b>	CJ3-CS3, AJ, CT3-CZ3, CA4-CZ4, CA5-CS5, ½ of CW5
<b>BOX 11</b>	<b>CT5</b> , CU5, CV5, ½ of CW5, CX5-CZ5, CA6-CC6
<b>BOX 12</b>	CD6-CZ6, CA7-CZ7
<b>BOX 13</b>	CA8-CJ8, CX8-CZ8, CA9-CO9
<b>BOX 14</b>	<b>CP9-CZ9</b> , CA10-CZ10, CA11-CC11
<b>BOX 15</b>	CK8-CW8, <b>CD11-CK11</b>
<b>BOX 16</b>	CL11-CR11, AG, CS11-CZ11, CA12
<b>BOX 17</b>	CB12, CC12, <b>CD12</b> , CE12-CN12, CO12, CP12, CQ12

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